Received by NSD/FARA Registration Unit 12/24/2014 11:16:35 AM OMB No. 1124-0002; Expires April 30, 2017

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement

Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending Nov. 30, 2014 I - REGISTRANT (b) Registration No. 1. (a) Name of Registrant **Scottish Enterprise** 3013 (c) Business Address(es) of Registrant See List Attached 2. Has there been a change in the information previously furnished in connection with the following? (a) If an individual: (1) Residence address(es) Yes 🗌 No 🗆 (2) Citizenship Yes 🗍 No □ Yes 🗔 No 🗌 (3) Occupation (b) If an organization: No 🗵 (1) Name Yes 🗌 Yes 🗍 No ⊠ (2) Ownership or control No 🗵 (3) Branch offices Yes 🔲 (c) Explain fully all changes, if any, indicated in Items (a) and (b) above IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a). 3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period. Yes 🗌 No ⊠ If yes, have you filed an amendment to the Exhibit C? Yes \square No 🗆 If no, please attach the required amendment

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

(PAGE 2)

	ollowing information:				
Name Danny Cusick		Director	Position	Date Cor June 24, 2014	nnection Ended 4
					ţ
* .	*				
) Have any persons b	ecome partners, officers	s, directors or similar	officials during this	6 month reporting perio	d?
Yes □					
If yes, furnish the f	ollowing information:				
Name	Resider	nce Address	Citizenship	Position	Date Assumed
	•	•			
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	1 ' 14 4/1 \		C 41 C41		i
Has any person nam Yes	ned in Item 4(b) rendere	d services directly in	furtherance of the in	terests of any foreign pr	incipal?
	such person and descr	ibe the service render	ed.		
y vo,	, out. person and deser				
Name	Residen	ice Address	Citizenship	Position	Date Assumed
				t	
		·			
•					
				· · · · · · · · · · · · · · · · · · ·	
	s or individuals, who have registrant during this 6		_	nt, terminated their emp	loyment or
If yes, furnish the fo	ollowing information:	•			•
Name		Positio	n or Connection	D	ate Terminated
			*		
	s or individuals, who has 6 month reporting peri		registration statemen	t, terminated their conn	ection with any foreign
	ollowing information:				•
		or Connection	Foreign Princ	inal Da	ate Terminated
If yes, furnish the fo	Position o			· r · · · ·	
	Position o				
If yes, furnish the fo	Position o	/			
If yes, furnish the fo	Position o	7			

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II - FOREIGN PRINCIPAL

7.	Has your connection with any filf yes, furnish the following inf		ended during t	his 6 month re	porting perio	d? Yes □	No E	₹
	Foreign Principal					Date of Termin	nation	
· .								
	•			,	,			
			• .		•			
	v*							
8.	Have you acquired any new for If yes, furnish th following info		² during this 6	month reporti	ng period?	Yes □	No [
	Name and Address of Foreign I	Principal(s)		•		Date Acquir	ed	•
	<u>.</u>							
					•		$f \rightarrow$	
		<u>;</u>						
				7		•		
9.	In addition to those named in It reporting period.	ems 7 and 8, if a	ny, list foreign	principal(s)2 v	whom you co	ntinued to repre	sent during th	e 6 month
	Scottish Enterprise	•		4				
	150 Broomielaw, 5 Atlantic Qu Glasgow, Scotland	ay		÷	0		•	
	G2 8LU							
		r				,		
					r			
			· · · · · · · · · · · · · · · · · · ·	· .			<i>.</i>	
10	. (a) Have you filed exhibits for Exhibit A ³			ncipal(s), if an	y, listed in Ite	em 8?		^
		Yes □ Yes □	No □ No □					
	If no, please attach the requ	ired exhibit.	, ,			•		
	(b) Have there been any chang represented during this six If yes, have you filed an an	month period?		iously filed fo Yes □ Yes □	r any foreign No [No]	X	ı you	
,	If no, please attach the requ			,	, ,	_		
	•				•			

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

^{4.} The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

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III - ACTIVITIES

11.	During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes No
	If yes, identify each foreign principal and describe in full detail your activities and services:
	Scottish Enterprise carries out activities through Scottish Development International and SCTR, Limited. The activities of Scottish Development International consist of publicizing Scotland as a region of U.S. commercial and industrial development, seeking potential U.S. investors and developing links between Scottish Enterprise and such investors.
	The activities of SCTR, Limited consist in publicizing and assisting Scottish companies with opening in the United States.
12.	During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity ⁵ as defined below?
	Yes ⊠ No □
	If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.
	Foreign Principal - Scottish Enterprise
	Political activity - Promotion of business development in Scotland, and export trade from Scotland on behalf of the British government to the United States.
13.	In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your
	foreign principal(s)? Yes \(\sum \) No \(\sum \)
	If yes, describe fully.
٠,	

^{5 &}quot;Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

4. (a)	RECEIPTS-MO	ONIES onth reporting period, have yo	us received from an	v foreign principal r	omed in Items	7 8 or 9 of this	
	statement, or fro	m any other source, for or in compensation or otherwise?					
	If no, explain wl	hy.	•				
	If yes, set forth l	below in the required detail ar	nd separately for ea	ch foreign principal	an account of	such monies.6	
	Date Various	From Whom Various	See attached	Purpose I schedules		Amount \$2,511,382.15	
	· .	• .					
			,				
		``````````````````````````````````````					
	•				_	\$2,511,382.15	
						Total	
(b)		UNDRAISING CAMPAIGN onth reporting period, have yo		of a fundraising can	npaign ⁷ , any m	oney on behalf of a	nv
	_	I named in Items 7, 8, or 9 of		Yes 🗌	No ⊠	<b>,</b>	
	If yes, have you	filed an Exhibit D ⁸ to your re	gistration?	Yes 🗆	. No □.		١
	If yes, indicate t	he date the Exhibit D was file	d. Date				
(c)	During this 6 mg	IINGS OF VALUE onth reporting period, have you 7, 8, or 9 of this statement, or No ⊠					
	If yes, furnish th	e following information:					
	Foreign Principa	nl Date	Received	Thing of Value		Purpose	

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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Total

5. (a)		nth reporting p	eriod, have you lies in connection with ac	tivity on behalf o	f any foreign principal n	amed in Items 7, 8, or
•	(2) transmitted r	nonies to any s	such foreign principal?	Yes 🗵	No 🗆	
			there were no disburseme uired detail and separatel			
	monies transmitte	ed, if any, to ea	ch foreign principal.	, 101 <b>000</b> 11 10101 <b>g</b> 1		
	Date Various	Various	To Whom	Expenditure schedule	Purpose és - see attached	Amount \$1,802,314.32
		•				
				·	٠.	
			,			,
•						
	·	•				
	· .					
				÷		\$1,802,314.32

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	Yes 🗌	No ⊠	;		
If yes, furnish	the following	information:			
Date	Recip	pient	Foreign Principal	Thing of Value	Pürpose
•	•				
	,	٠.			
			·		
	:	•			
	•				
		• .			
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	· .			
During this 6 other person,	month reportir made any cont	ng period, have ributions of m	oney or other things of va	s and on your own behalf eit lue ¹¹ in connection with an e ucus held to select candidate	election to any political
	Yes 🗆	No ⊠	•		
If yes, furnish	the following	information:			

^{10. 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

#### **V-INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period  Yes ⊠ No □	d, did you prepare	, disseminate or cause to	be disseminated any i	nformational materials? ¹²
If Yes, go to Item 17.				•
(b) If you answered No to Item 16(a), do	you disseminate	any material in connecti	on with your registration	on?
Yes ☐ No ☐  If Yes, please forward the materials diss	eminatëd during t	he six month period to th	ne Registration Unit for	rreview.
17. Identify each such foreign principal.				
Scottish Enterprise, Glasgow, Scotland				
* -	•		ı	
				•
18. During this 6 month reporting period, ha	s any foreign prin	cipal established a budg	et or allocated a specif	ied sum of money to
finance your activities in preparing or di	sseminating infor	mational materials?	Yes ⊠ N	lo 🗌
If yes, identify each such foreign princip			period of time.	
See schedule of receipts as identified o	n page 5, questio	n 14(a).		
·			•	
19. During this 6 month reporting period, di materials include the use of any of the fo		n preparing, disseminatir	ng or causing the disser	nination of informational
•	•	☐ Motion picture films		or telegrams
✓ Advertising campaigns       ✓ Press re		□ Pamphlets or other p		
○ Other (specify) Presentations to groups	of executives			
Electronic Communications				
⊠ Email	`			
Website URL(s); www.sdi.co.uk	<del></del>	· · · · · · · · · · · · · · · · · · ·		
☐ Social media websites URL(s):				
Other (specify)				
20. During this 6 month reporting period, di the following groups:	d you disseminate	or cause to be dissemina	ated informational mat	erials among any of
☐ Public officials	Newspa     Ne	apers	☐ Libraries	
☐ Legislators	⊠ Editors		☐ Education	nal institutions
☑ Government agencies	🗵 Čivic ĝ	roups or associations	☐ Nationali	y groups
Other (specify) Industry groups a	nd executives	<del></del>		
21. What language was used in the informat	ional materials:			
⊠ English		r (specify)	•	<del></del>
22. Did you file with the Registration Unit, to disseminated or caused to be disseminated				onal materials
23. Did you label each item of such informatives No	tional materials w	ith the statement require	d by Section 4(b) of the	e Act?

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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(P	А	U.	Ŀ.	4

VI - F	YECI	TTIO	N

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Print or type name under each signature or provide	e electronic signature 1
December 24, 2014	/s/ Kathleen B. Gallagher Tepfer	eSigned
		<u> </u>

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

## SCTR LIMITED

and

#### SCOTTISH DEVELOPMENT INTERNATIONAL

FARA Report - Financial Information - IV

June 1, 2014 to November 30, 2014

Registration No. 3013

#### Page 5, #14 (a) Receipts - Monies

<u> Bostor</u>	ı. MA	,				
Dates		From Whom	Purpose			
Variou	ıs	Various Refunds	Refunds of Expenses \$	1,521.08	•	
June	18	Scottish Enterprise	Expenditures	150,000.00		
June	20	Scottish Enterprise	Expenditures	100,000.00		
Aug	07	Scottish Enterprise	Expenditures	13,536,77		
Aug	07	Scottish Enterprise	Expenditures	13,276.76	•	
Sept	15	Scottish Enterprise	Expenditures	100,000.00		
Oct	03	Scottish Enterprise	Expenditures	10,641.95		
Oct	24	Scottish Enterprise	Expenditures	70,000.00		
Oct	30	Scottish Enterprise	Expenditures	12,936.30		
Nov	18	Scottish Enterprise	Expenditures	100,000.00	\$	571,912.86
			-		-	• • •
		Total receipts f	or Scottish Development Intern	national	\$	571,912.86
Dates		Fram Whar	Duemora			
<u>Dates</u>	10	From Whom	Purpose Off Syco & Refunds \$	120 460 20		
Variou		Various	Off. Svcs & Refunds \$	129,469.29		
Variou June	11	Various Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures	190,000.00		
Variou June June	11 20	Various Scottish Enterprise Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures	190,000.00 200,000.00		
Variou June June July	11 20 15	Various Scottish Enterprise Scottish Enterprise Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00		
Variou June June July Aug	11 20 15 15	Various Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00 260,000.00		
Various June June July Aug Sept	11 20 15 15	Various Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00		
Various June June July Aug Sept Oct	11 20 15 15 12 09	Various Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00 100,000.00		
Various June June July Aug Sept Oct	11 20 15 15 12 09 16	Various Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00 100,000.00 160,000.00		
Various June June July Aug Sept Oct Oct Nov	11 20 15 15 12 09 16 14	Various Scottish Enterprise	Off. Sves & Refunds \$ Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00 100,000.00 160,000.00 250,000.00		
Various June June July Aug Sept Oct	11 20 15 15 12 09 16	Various Scottish Enterprise	Off. Svcs & Refunds \$ Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00 100,000.00 160,000.00	\$	1,939,469.29
Various June June July Aug Sept Oct Oct Nov	11 20 15 15 12 09 16 14	Various Scottish Enterprise	Off. Sves & Refunds \$ Expenditures	190,000.00 200,000.00 250,000.00 260,000.00 300,000.00 100,000.00 160,000.00 250,000.00	\$\$.	1,939,469.29, 1,939,469.29

### SCTR LIMITED

AND

### SCOTTISH DEVELOPMENT INTERNATIONAL

#### **CASH DISBURSEMENTS**

## JUNE 1, 2014 TO NOVEMBER 30, 2014

**REGISTRATION NO. 3013** 

DESCRIPTION	SCTR	SDI	TOTAL
U.K. TRAVEL	23,907.63	1,496.17	25,403.80
NON U.K. TRAVEL	10,744.70	1,883.30	12,628.00
U.K. SUBSISTENCE	9,492.37	1,431.05	10,923.42
NON U.K. SUBSISTENCE	4,967.54	485.46	5,453.00
RENT	319,795.25	0.00	319,795.25
INSURANCE	231,333.27	44,004.45	275,337.72
PRINTING & STATIONERY	16,487.82	0.00	16,487.82
TELEPHONE & TELEGRAPH	27,462.16	(71.90)	27,390.26
POSTAGE	3,000.19	0.00	3,000.19
GENERAL EXPENSE	6,138.58	2,010.43	8,149.01
SUBSCRIPTIONS	4,624.06	0.00	4,624.06
TAXES	1,468.28	0.00	1,468.28
HOSPITALITY - NON U.K.	2,565.61	129.87	2,695.48
CATERING	3,713.63	0.00	3,713.63
PROFESSIONAL FEES	17,478.14	0.00	17,478.14
LOCAL SALARIES	1,068,967.31	2,546.77	1,071,514.08
EQUIPMENT PURCHASE	(9,218.72)	0.00	(9,218.72)
HOUSING EXPENSE	0.00	0.00	0.00
MARKETING	0.00	0.00	0.00
FOREIGN EXCHANGE LOSS (GAIN)	35.08	0.00	<b>35</b> .08
STAFF DEVELOPMENT	. 0.00	0.00	0.00
UTILITIES	1,795.11	0.00	1,795.11
SPECIAL EVENTS	2,517.43	0.00	2,517.43
MAINTENANCE & REPAIRS	1,123.28	0.00	1,123.28
TOTAL	1,748,398.72	53,915.60	1,802,314.32

Scottish Enterprise
June 1, 2014 to November 30, 2014
Registration No. 3013

#### Page 8- No. 19

One public relations consultant was employed:
Weber Shandwick
101 Main Street, 8th Floor
Cambridge, MA 02142

One advertising firm was employed:

Weber Shandwick

101 Main Street, 8th Floor
Cambridge, MA 02142

#### During this period the organization made presentations or had exhibits at the following:

NYU Hospitality Conference	June 01-03, 2014	New York, NY
Showcase New York	June 02-06, 2014	New York, NY
DIA, San Diego	June 15-19, 2014	San Diego, CA
NOIA	June 17-20, 2014	St. Johns, NL
BIO San Diego ,	June 23-26, 2014	San Diego, CA
Bio Pre/ Post Mission	June 23-26, 2014	San Diego, CA
Dug Eagle Ford Mission	Sept 15-18, 2014	San Antonio, TX
World Routes Conference	Sept 20-23, 2014	Chicago, IL
Ocean Renewable Energy Conference	Sept 23-25, 2014	Portland, OR
Stem Cells on the Mesa	Oct 07-09, 2014	LaJolla, CA
Solicitor General	October 09, 2014	Vancouver
Craft Beer Mission	Oct 12-17, 2014	Boston & Toronto
Minister for Environment & Climate	Oct 22- 24, 2014	Chile

Scottish Enterprise
FARA Report, Supplement to Part III 11, Activities
November 30, 2014

These goals have been pursued by branches of Scottish Enterprise located in the United States by means of mail, telephone contacts, seminars, exhibitions, advertising in U.S. publications and electronic media and personal visits by officers of the registrant with representatives of U.S. business organizations. They have also been pursued by providing assistance to Scottish companies to begin operations in the U.S. by providing temporary office space and services.

### Scottish Enterprise FARA Report, Business Addresses

### **Scottish Development International**

One Boston Place (New Address) Suite 3875 Boston, MA 02108

2570 North First Street Suite 440 San Jose, CA 95131

Highland Oaks, Suite 130 1020 31st Street Downers Grove, IL 60515

10375 Richmond Avenue, Ste 1300 Houston, TX 77042

5 Penn Plaza 19th Floor New York, NY 10001

#### **SCTR Limited offices:**

Operations are now run out of the above offices.

Scottish Enterprise FARA Report Registration 3013 Rider

Scottish Enterprise is being represented in the United States by 2 divisions. The 2 divisions are being maintained for accounting purposes. They operate out of the same offices.